

Agenda

Meeting: Audit Committee

Venue: The Grand Meeting Room, County Hall, Northallerton

Date: Thursday, 18 April 2013 at 1.30 pm

Business

1. Minutes of the meeting held on 7 March 2013.

(Pages 1 to 8)

2. Public Questions or Statements.

Members of the public may ask questions or make statements at this meeting if they have delivered it in writing or by electronic mail to Josie O'Dowd of Democratic Services (*contact details below*) no later than midday on 15 April 2013. Each speaker should limit himself/herself to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- at this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes);
- when the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

3. Progress on Issues Raised by the Committee – Joint report of the Corporate Director – Strategic Resources and the Assistant Chief Executive (Legal and Democratic Services).

(Pages 9 to 11)

Enquiries relating to this agenda please contact Josie O'Dowd **Tel: 01609 532591**
Fax: **01609 780447** or e-mail democratic.services@northyorks.gov.uk (or 0800 220617 after office hours)

www.northyorks.gov.uk

https://www3.northyorks.gov.uk/n3cabinet_comm/audit/_agendas_/default.htm

Policy / Planning / Regulatory Items

4. Internal Audit Plan 2013/14 - Report of the Head of Internal Audit.
(Pages 12 to 29)
5. Progress on 2012/13 Internal Audit Plan - Report of the Head of Internal Audit.
(Pages 30 to 33)

Monitoring of Progress / Performance Items

6. (a) Internal Audit Work for the Children and Young People's Service Directorate
- Report of the Head of Internal Audit.
(Pages 34 to 44)

(b) Internal Control Matters for the Children and Young People's Service Directorate
- Report of the Corporate Director – CYPS.
(Pages 45 to 70)
7. Reviewing Assurance over Value For Money – Report of the Corporate Director – Strategic Resources.
(Pages 71 to 111)
8. Programme of Work – Report of the Corporate Director – Strategic Resources.
(Page 112)
9. Such other business as in the opinion of the Chairman should, by reason of special circumstances, be considered as a matter of urgency.

Notes:

- (a) Members are reminded of the need to consider whether they have any interests to declare on any of the items on this agenda and, if so, of the need to explain the reason(s) why they have any interest when making a declaration.

The relevant Democratic Support Officer or Monitoring Officer will be pleased to advise on interest issues. Ideally their views should be sought as soon as possible and preferably prior to the day of the meeting, so that time is available to explore adequately any issues that might arise.

- (b) Tea and coffee will be available before the start of the meeting. Will Members please help themselves.
- (c) **Emergency Procedures for Meetings**

Fire

The fire evacuation alarm is a continuous Klaxon. On hearing this you should leave the building by the nearest safe fire exit. From the **Grand Meeting Room** this is the main entrance stairway. If the main stairway is unsafe use either of the staircases at the end of the corridor. Once outside the building please proceed to the fire assembly point outside the main entrance

Persons should not re-enter the building until authorised to do so by the Fire and Rescue Service or the Emergency Co-ordinator.

An intermittent alarm indicates an emergency in nearby building. It is not necessary to evacuate the building but you should be ready for instructions from the Fire Warden.

If you discover a fire, you should sound the alarm and then dial 9-999 asking the Fire Brigade to come to the main County Hall Building, Northallerton. You should then ring County Hall Reception on 6100 to inform them where the fire is.

There are alarm points at each end of the Meeting Room corridor – and at the main stairway.

Accident or Illness

First Aid treatment can be obtained by telephoning Extension 7575.

AUDIT COMMITTEE

1. Membership

COUNTY COUNCILLORS - (8)				
1.	ARTHUR, Karl		Conservative	
2.	CHATT, Bill		NY Independent	
3.	De COURCEY-BAYLEY, Mrs Margaret-Ann	(Vice- Chairman)	Liberal Democrat	
4.	HESELTINE, Robert		NY Independent	
5.	JORDAN, Mike		Conservative	
6.	MULLIGAN, Patrick	(Chairman)	Conservative	
7.	PEARSON, Chris		Conservative	
8.	PLANT, Joe		Conservative	
MEMBERS OTHER THAN COUNTY COUNCILLORS - (3) NON-VOTING				
1.	VACANCY			
2.	DAGLISH, James ²			
3.	PORTLOCK, David ²			
TOTAL MEMBERSHIP - (11) QUORUM - (3) County Councillors				
CON	LIB DEM	NY IND	NON COUNTY COUNCILLORS	TOTAL
5	1	2	3	11

2. Substitute Members

<p style="text-align: center;">CONSERVATIVE</p> <p>MBE</p> <ol style="list-style-type: none"> 1. BATEMAN, Bernard 2. SOWRAY, Peter 3. KENYON, Jane 4. PEART, Dave 	<p style="text-align: center;">LIBERAL DEMOCRAT</p> <ol style="list-style-type: none"> 1. BARNES, Keith 2. HOULT, Bill 3.
<p style="text-align: center;">NY INDEPENDENT</p> <ol style="list-style-type: none"> 1. BLACKIE, John 2. 3. 4. 	<p style="text-align: center;">INDEPENDENT</p> <ol style="list-style-type: none"> 1. 2.

² For term of office see County Council resolution of 19 May 2010

